

Resilient Scotland, Making Enterprise Happen Application Form

This Application Form is stage one in applying for **Making Enterprise Happen** investment from Resilient Scotland. You should only use this form if you have been invited to do so by the Resilient Scotland team.

Please send your completed application form to rachel@resilientscotland.org.uk along with a full Business Plan and your organisations governing document. Please ensure that you complete and sign the State Aid section at the end of the form.

What next?

We will carry out an initial review of your proposal and business plan to ascertain if it meets the criteria for Resilient Scotland investment and demonstrates that it will meet our charitable objects, which are:

- To prevent and/or relieve poverty among those living in Scotland
- To advance community development, and urban and rural regeneration, in Scotland
- To advance education in Scotland.

If we decide to progress your application we will contact you and ask you for the following:

- Two most recent years audited accounts (for established organisations)
- Management accounts for last three months
- Copies of last three months bank statements
- Financial projections for first three years of investment (to include assumed RSL loan repayments)
- Evidence your organisation is unable to secure commercial lending for a similar amount that you are requesting from Resilient (letter or email)
- Third-party valuation of assets to be used as security, or Quotes for equipment/vehicles to be purchased
- Details of your solicitor
- Letter from accountant confirming that :
 - ↳ all HMRC obligations are up to date and not subject to a payment plan
 - ↳ all pension obligations are up to date
 - ↳ no off-balance sheet liabilities exist
- Evidence of current public liability and employers insurance

If we decide not to progress your proposal we will provide some feedback as to why it is not a good fit for Resilient Scotland. If we can signpost you to other potential sources of social investment or grant funding, or offer any other advice, we will.

Organisational Details

Organisation name:

If your organisation trades under a different name please provide it here:

Name of key contact:
(This will be the person we will contact about your application)

Role in organisation:

Address:

Local Authority:

Email:

Website:

Telephone no:

Charity No:

Mobile no:

Company No:

Number of Directors/Trustees:

Date of incorporation:

- Applications are only open to incorporated organisations. Please tick the relevant box to show your organisation type:

Incorporated co-operative (including Community benefit Society)	Community Interest Company (CIC) * Schedule 1 or Schedule 2	Scottish Charitable Incorporated Organisation (SCIO)	
Company limited by shares	Charitable Company	Other (please state)	
Company limited by guarantee	Industrial & Provident Society	(eg. This could be a Charity wishing to set up a trading arm)	

*[Schedule 3](#) Community Interest Companies are not currently eligible to apply for investment.

2. What does your enterprise do?

Please provide a brief summary of your activity and tell us how you generate income [150 words max]

3. Proposal and outcomes

The information about your outcome areas that you provide in this section will provide the basis for our ongoing social impact measurement.

a) Our objects

The charitable objects of Resilient Scotland are:

- ↳ To prevent and/or relieve poverty amongst those living in Scotland
- ↳ To advance community development, and urban and rural regeneration
- ↳ To advance education

Tell us how your proposed project will help us to meet **one or more** of these charitable objects. [300 words max]

b) Outcome categories

Indicate which of these outcome categories* will be the focus of our investment. You should mark the primary outcome category with '1' and, if relevant, the secondary outcome area with a '2'. (Please do not mark more than two outcome categories).

Employment, training and education	Arts, heritage and sport	
Local facilities and housing	Health and wellbeing	
Citizenship and community	Conservation of the natural environment	

*For more information about the outcome categories please refer to the **Outcome Categories Guidance for Applicants** or the **Your Impact** page on our website.

c) Please provide a summary of your proposed project and what difference it will make in the outcome categories that you have indicated above. [500 words max]

d) Please describe your activities to date to develop your proposal. [250 words max]

e) Tell us how you will monitor and measure the difference that your organisation will make as a result of the Making Enterprise Happen investment. [150 words max]

d) Tell us what the Making Enterprise Happen investment will allow your organisation to do that you couldn't achieve otherwise (what is the intended change that the investment will facilitate). [500 words max]

4. Funding for your project

What is the total project cost?

How much investment you are requesting from Resilient Scotland?

If additional funds are required to complete the project, are they in place?

If additional funds are not already in place, how will you source remaining funds required?

When is the investment required by?

What do you have, or what will you use the investment for, that can be held as security to cover the value of the loan?

Are there any external factors to be considered, (planning permission, building warrants, acquiring a lease)?

Does the organisation have any current borrowings? If so, please provide details of the lender(s), amounts outstanding and what security is held (please include details of Directors loans).

Directors Details

Please provide the following details for each director/trustee (**please note that there must be at least three unrelated Directors**).

Full name | Home address | email address | profession, skills or expertise that they bring to the organisation.

If there are more than five directors/trustees please continue on a separate sheet.

Director/trustee 1

Director/trustee 2

Director/trustee 3

Director/trustee 4

Director/trustee 5

I certify that the information contained in this form and the accompanying documents is true and correct, that I have the authority to act on behalf of the organisation and that this proposal has been approved by the Trustees/Directors.

If the application is successful, the information provided by the applicant in this application will form part of the legally binding offer agreements.

Print name	
Date	

Please now complete the State Aid Proforma on the following pages.

SAMPLE

State Aid form for completion by Applicant to Making Enterprise Happen

Applicant name:

NOTE: De minimis aid cannot be applied in following sectors: production of agricultural products, fisheries and aquaculture. Also, limit for aid in road transport sector is 100,000 euros (as opposed to 200,000 euros).

There are European community rules governing the State Aid that individual member states may give to businesses. Any assistance given under this scheme will be given under a part of the state aid rules, known as *de minimis*, which effectively permits undertakings to receive up to 200,000 Euros (in aggregate from any public source(s)) in any three year rolling period. The legal framework for such *de minimis* aid is set out in the Commission Regulation on the application of Articles 87 and 88 of the Treaty to *de minimis* aid (1998/2006/EC).

If your business has received any other *de minimis* State Aid in this period this could have an effect on the grant/loan package you are applying for. You should note that the value of the aid that you are considered to receive under the state aid rules will not equal the amount of the loan or guarantee itself but is calculated according to a formula that takes into account a number of factors (interest charged etc). If your application is successful, we will advise you of the amount of *de minimis* aid that you will be considered to receive.

Please complete the table on the following page listing any other *de minimis* State Aid received by your business in the past three years (or pending) and sign the declaration below. We will then be able to check the State Aid position as part of the application assessment process. You can find comprehensive information about State Aid and how it may affect your business on the State Aid Scotland website (www.stateaidscotland.gov.uk).

Declaration

I understand that my application for a grant/loan package from Resilient Scotland Ltd is governed by the European Community rules on State Aid and if successful, will be made under the *de minimis* rules (set out in Commission Regulation 1998/2006 EC). I confirm that I have listed here the total amount of all forms of *de minimis* aid received by my business in the past three years, or currently pending, and I acknowledge that Resilient Scotland Ltd will use this information to determine whether or not my application meets the State Aid rules.

Signed: _____

Date: _____

Name: _____

Organisation: _____

